



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Mossberg))
Serial No.: 09/811,081	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage
Filed: March 16, 2001	in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231 on:
For: Holographic Spectral Filter	Date of Deposit
Examiner: A. V. Amari	Name of Person Mailing Correspondence
Group Art Unit: 2872	Signature () Signature () Date
Attorney Docket No. 5455.P001	
Assistant Commissioner for Patents	RECEIVEI JAN 24 20
Washington, D.C. 20231	N 20 E

RESPONSE TO REQUIREMENT FOR RESTRICTION

Sir:

In response to the Office Action mailed December 31, 2002, Applicant respectfully requests that the following election be entered and the following remarks considered.

REQUIREMENT FOR RESTRICTION

In the Office Action mailed December 31, 2002, the Examiner indicated (at page 2) that the Applicant is required to elect one of the following claim groups for prosecution:

Group	Claims	Classification
I	1-55, 87-105	Class 359, Subclass 29
II	56-86	Class 430, Subclass 1, 2

The Examiner further indicated (at page 3) that the Applicant is required to elect, for the selected claim group, a single disclosed species for prosecution. The species for the Group I and Group II claims, respectively, are indicated to be:

Group I Species				
Species 1	Claims 1-11, 26-33, 36-44			
Species 2	Claims 12-25, 34, 35, 45-55			
Species 3	Claims 87-103			
Species 4	Claims 104 and 105			

Group II Species				
Species 1	Claims 56, 57			
Species 2	Claims 58-81			
Species 3	Claims 82-86			

ELECTION

Applicant elects to prosecute the claims of <u>Group I</u>, <u>Species 2</u>, which includes claims 12-25, 34, 35, and 45-55.

CONCLUSION

Any questions regarding this election may be directed to the Applicant's undersigned attorney.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, L.L.P.

Date: January 17, 2003

Kerry D. Tweet

Registration No. 45,959

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (503) 684-6200

KDT/acf

TRANSMITTAL FORM

09/811,081

March 16, 2001

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Redaction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No.

Filing Date

(to be used for all correspondence after initial filing)		First Named Inventor	Tho	mas W. Mossberg		
		Group Art Unit	2			
		Examiner Name	Amari, Alessandro V.			
Total Number of Pages in This Su	bmission 7	Attorney Docket Number	545	5P001		
EN	ICLOSURES (chec	ck all that apply)	'			
Fee Transmittal Form	Assignmer (for an App	nt Papers olication)		After Allowance Communication to Group		
Fee Attached	Drawing(s))	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensing-	related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
☐ After Final ☐ Affidavits/declaration(s)	Petition			Proprietary Information		
Extension of Time Request	Petition to Provisiona	Convert a Application		Status Letter		
Express Abandonment Request	L ^L	Attorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):			
☐ Information Disclosure Statemen	nt Terminal C	Disclaimer		-Return Receipt Postcard		
☐ PTO/SB/08	PTO/SB/08 Request for					
Certified Copy of Priority Document(s)	by of Priority CD, Numb			TECH		
Response to Missing Parts/ Incomplete Application	Remarks					
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☐ Declaration/POA		•		7 CE 7		
Response to Missing Parts under 37 CFR 1.52 or 1.53				JAN 24 2003 HOLOGY CENTER		
SIGNA	TURE OF APPLICA	NT, ATTORNEY, OR A	GENT	Γ Ν F &		
	Tweet, Reg. No. 45,	959		8		
or Individual name BLAKEI	LY, SOKOLOFF,	TAYLOR & ZAFM	IAN	LLP		
Signature						
Date January 17, 2003						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 17, 2003						
Typed or printed name Angie C. Farr						
Signature	nail (-	tus 1	Date	January 17, 2003		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ILLO ROPE FE TRANSMITTAL	Complete if Known				
	Application Number	09/811,081			
101 F 1 2003	Filing Date	March 16, 2001			
Effective 01/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Thomas W. Mossberg			
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Amari, Alessandro V.			
TOTAL AMOUNT OF PAYMENT (\$)	Group/Art Unit Attorney Docket No.	2872 5455P001			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					-		
☐ Check ☐ Credit card ☐ Money ☐ Other ☑ None								
Deposit Account	Large	Entity	_I Sma	II Entity	,			
	Fee	Fee	Fee	Fee	-			
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fee	Description		Fee Paid
Number	1051	130	2051	65 05	Surcharge - late filing			
Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP	1052	50	2052	25	Surcharge - late provi cover sheet.	sional filing fee or		
Name Blakery, Sokoloff, Taylor & Zafffall ELF	2053	130	2053	130	Non-English specifica	tion		
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for	ex parte reexamin	ation	
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1804	920 *	1804	920 *	 Requesting publication Examiner action 	n of SIR prior to	젊	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20	1805	1,840 *	1805	1.840 *	Requesting publication	n of SIR after	<u>S</u>	
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to the above-identified deposit account	1251	110	2251	55	Extension for reply wit	hin first month	JAN 24 CENTER 2800	
FEE CALCULATION	1252	410	2252	205	Extension for reply wit	hin second month	2 7	
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply wit	hin third month	CF +	
Large Entity Small Entity	1254	1,450	2254	725	Extension for reply wit	hin fourth month	2 6	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1255	1,970	2255	985	Extension for reply wit	hin fifth month	E E	
1001 750 2001 375 Utility filing fee	1404	320	2401	160	Notice of Appeal		હ્યું	
1002 330 2002 165 Design filing fee	1402	320	2402	160	Filing a brief in suppor	rt of an appeal	80	
1003 520 2003 260 Plant filling fee	1403	280	2403	140	Request for oral heari			
1004 750 2004 375 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a p		ing	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - una		•	
SUBTOTAL (1) (\$)	1453	1,300	2453	650	Petition to revive - uni			
2 EVEDA CLAIM EFEC	1501 1502	1,300 470	2501 2502	650 235	Utility issue fee (or rei Design issue fee	ssue)		
2. EXTRA CLAIM FEES _{Extra} Fee from Claims below Fee Paid	1502	630	2502	315	Plant issue fee			
Total Claims = Total Claims	1460	130	2460	130	Petitions to the Comm	rissioner		
Independent Claims X	1807	50	1807		Processing fee under 3			
Multiple Dependent	1806	180	1806	180	Submission of Informa		mt	
Large Entity Small Entity	8021	40	8021	40	Recording each paten			
Fee Fee Fee Description					property (times number			1 1
Code (\$) Code (\$)	1809	750	1809	375	Filing a submission aft	ter final rejection		
1202 18 2202 9 Claims in excess of 20	4040	750	2040	275	(37 CFR § 1.129(a))			
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	3/5	For each additional invexamined (37 CFR § 1			
1203 280 2203 140 Multiple Dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims over	1801	750	2801	375	Request for Continued	d Examination (RCI	E)	
original patent	1802	900	1802	900	Request for expedited			
1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fe	e (specify)			of a design application) 		[]
original patent								
SUBTOTAL (2) (\$)		by Basic Filtr	ng Fee Pa	aid	s	UBTOTAL (3)	(\$)	
**or number previously paid, if greater, For Reissues, see below							(*)	
SORWILLED BA						Comple	ete (if appli	cable)
Name (Print/Type) Kerry D. Tweet Registration No. (Attorney/Agent)				4	15,959	Telephone	(503) 68	34-6200
Signature 3						Date	01/1	7/03

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.